

UTILITY PATENT
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

010328

Total Pages

First Named Inventor or Application Identifier

Kazuhiro HATTORI

Express Mail Label No.

Check Box, if applicable [] Duplicate

APPLICATION ELEMENTS FOR:
DRY ETCHING METHOD, MICROFABRICATION
PROCESS AND DRY ETCHING MASK

ADDRESS TO: Commissioner for Patents
BOX PATENT APPLICATIONS
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form) (The filing fee is deferred).

2. ☒ Specification Total Pages [8] (IN JAPANESE)

3. ☒ Drawing(s) (35 USC 113) Total Sheets [3]

4. ☐ Oath or Declaration Total Pages []
NOT attached; deferred under P.L. 97-247.

5. ☐ Newly executed (original)

6. ☐ Copy from prior application (37 CFR 1.63(d)
(for continuation/divisional with Box 17 completed).

i. ☐ Deletion of Inventor(s)

Signed statement attached deleting inventor(s) named in prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).

7. ☐ Incorporation by reference (useable if box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.

8. ☐ Microfiche Computer Program (Appendix)

9. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. ☐ Computer Readable Copy

b. ☐ Paper Copy (identical to computer copy)

c. ☐ Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

10. ☐ Assignment Papers (cover sheet and document(s)) to: **TDK CORPORATION, Tokyo, Japan**

11. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) [] Power of Attorney

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10. ☐ English translation Document (if applicable)
11. ☐ Information Disclosure Statement ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Status is claimed.
15. ☒ Claim for Convention Priority ☒ [1] Certified copy of Priority Document is enclosed.
16. ☒ a. Priority of Japanese application No. 2000-088636 filed on March 28, 2000 is claimed under 35 USC 119.
17. ☐ Other _____
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. ____/____

FEE TRANSMITTAL

The filing fee is calculated below

Number Filed

Number Extra

Rate

Basic
Fee
\$710.00

Total Claims

8 - 20

x \$18.00

Independent Claims

4 - 3

1

x \$80.00

80.00

Multiple Dependent Claims

\$270.00

Basic Filing Fee

790.00

Reduction by 1/2 for small entity

Fee for recording enclosed Assignment

\$40.00

40.00

TOTAL

\$830.00

XX NO FEE ENCLOSED. THE FILING FEE IS DEFERRED.

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- ☐ A check in the amount of \$_____ is enclosed to cover the filing fee of \$_____ and the assignment recordation fee of \$_____.
- ☐ Please charge our Deposit Account No. **01-2340** in the total amount of _____ to cover the filing fee and the _____ assignment recordation fee. A duplicate of this sheet is attached.
- ☐ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name Donald W. Hanson

Reg. No. 27,133

Signature

Date: March 26, 2001

DWH/yap

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